

BEST AVAILABLE COPY

6

JACOBSON HOLMAN PLLC
 400 SEVENTH STREET, N.W.
 WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

O. I. P. E. JCT/76
 AUG 29 2002
 PATENT & TRADEMARK OFFICE
 ATTY. DOCKET NO.: P67299US0
 SERIAL NO.: 09/988579
 APPLICANT(S): HARDIN et al

GROUP ART UNIT: 1762
 FILING DATE: November 20, 2001
 TODAY'S DATE: August 29, 2002

U. S. PATENT DOCUMENTS

*EXAMINER <u>INITIAL</u>	DOCUMENT NUMBER	DATE	NAME	SUB- CLASS	FILING DATE <u>CLASS If Appropriate</u>
AA					
AB					
AC					

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	SUB- CLASS	TRANSLATION <u>CLASS YES (NO)</u>
AD 2097024A	10/27/82	United Kingdom		
AE 0760401A1	03/05/97	Europe		
AF WO88/06639	09/07/88	WIPO		
AG WO95/34693	12/21/95	WIPO		
AH WO96/11290	04/18/96	WIPO		
AI WO96/15292	05/23/96	WIPO		
AJ WO99/46422	09/16/99	WIPO		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>Aro</u>	AR	Chemical and Engineering News, 63(5), 27, 1985 Periodic Table (no month date)
<u>Aro</u>	AS	Derwent Abstract Accession No. 99-233263/20, JP 11061432 (Nippon Steel Corp), March 5, 1999
	AT	

EXAMINER Al O DATE CONSIDERED 6/18/03

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)



BEST AVAILABLE COPY

Sheet 1 of 3 #9

JACOBSON HOLMAN PLLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

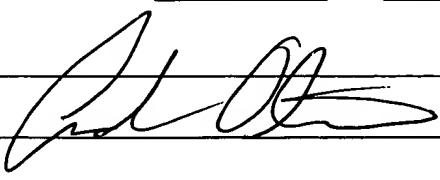
ATTY. DOCKET NO.: P67299US0
SERIAL NO.: 09/988579
APPLICANT(S): HARDIN et al

GROUP ART UNIT: 1762
FILING DATE: November 20, 2001
TODAY'S DATE: April 29, 2003

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER			NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
Ar0	AA	3969152	07/13/76	Melotik	148	6.15	
Ar0	AB	4233088	11/11/80	Kronstein	148	6.16	
Ar0	AC	4264278	04/28/81	Weingart	416	226	
Ar0	AD	4298404	11/03/81	Greene	148	6.14	
Ar0	AE	4310390	01/12/82	Bradley et al	204	37	
Ar0	AF	4349392	09/14/82	Huvar	148	6.2	
Ar0	AG	4359347	11/16/82	Da Fonte, Jr.	148	6.14	
Ar0	AH	4610732	09/09/86	Sasaki et al	148	6.14	
Ar0	AI	4711667	12/08/87	Bibber	106	14.2	
Ar0	AJ	4725375	02/16/88	Fujii et al	252	79.4	
Ar0	AK	4755224	07/05/88	Bibber	106	14.2	
Ar0	AL	4851148	07/25/89	Yamasoe et al	252	142	
Ar0	AM	4878963	11/07/89	Bibber	148	262	
Ar0	AN	4883541	11/28/89	Tadros	134	3	
Ar0	AO	4921552	05/01/90	Sander et al	14.8	247	
Ar0	AP	4944889	07/31/90	Awad	252	32.5	
Ar0	AQ	4988396	01/29/91	Bibber	148	269	
Ar0	AR	5030323	07/09/91	Awad	156	665	
Ar0	AS	5080814	01/14/92	Awad	252	49.3	
Ar0	AT	5118356	06/02/92	Darmon et al	134	3	
Ar0	AU	5192374	03/09/93	Kindler	148	272	
Ar0	AV	5194138	03/16/93	Mansfeld et al	205	183	
Ar0	AW	5198141	03/30/93	Darmon et al	252	142	
Ar0	AX	5221371	06/22/93	Miller	148	273	
Ar0	AY	5356492	10/18/94	Miller	148	273	
Ar0	AZ	5356942	10/18/94	Savoca et al	521	103	

RECEIVED
GROUP 1700

EXAMINER  DATE CONSIDERED 6/17/03

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)


BEST AVAILABLE COPY
 JACOBSON HOLMAN PLLC
Sheet 2 of 3400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

 ATTY. DOCKET NO.: P67299US0
 SERIAL NO.: 09/988579
 APPLICANT(S): HARDIN et al

 GROUP ART UNIT: 1762
 FILING DATE: November 20, 2001
 TODAY'S DATE: April 29, 2003

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER			NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
<u>Ar0</u>	AA1	<u>5362335</u>	<u>11/08/94</u>	<u>Rungta</u>	<u>148</u>	<u>272</u>	
<u>Ar0</u>	AB1	<u>5383982</u>	<u>01/24/95</u>	<u>Hauffe et al</u>	<u>148</u>	<u>262</u>	
<u>Ar0</u>	AC1	<u>5389199</u>	<u>02/14/95</u>	<u>Awad et al</u>	<u>156</u>	<u>665</u>	
<u>Ar0</u>	AD1	<u>5520750</u>	<u>05/28/96</u>	<u>Riley</u>	<u>148</u>	<u>261</u>	
<u>Ar0</u>	AE1	<u>5584943</u>	<u>12/17/96</u>	<u>Banaszak et al</u>	<u>148</u>	<u>246</u>	
<u>Ar0</u>	AF1	<u>6022425</u>	<u>02/08/00</u>	<u>Nelson et al</u>	<u>148</u>	<u>272</u>	
<u>Ar0</u>	AG1	<u>6206982</u>	<u>03/27/01</u>	<u>Hughes et al</u>	<u>148</u>	<u>273</u>	
<u>Ar0</u>	AH1	<u>6503565</u>	<u>01/07/03</u>	<u>Hughes et al</u>	<u>427</u>	<u>299</u>	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER			COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
<u>Ar0</u>	AI1	<u>22855/92</u>	<u>03/11/93</u>	<u>Australia</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AJ1	<u>24808/84</u>	<u>02/20/84</u>	<u>Australia</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AK1	<u>0127572</u>	<u>12/05/84</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	<u>Abstract</u>
<u>Ar0</u>	AL1	<u>0331284</u>	<u>09/06/89</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AM1	<u>0367504</u>	<u>05/09/90</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AN1	<u>0486778</u>	<u>05/27/92</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AO1	<u>0488430</u>	<u>06/03/92</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AP1	<u>0603921</u>	<u>06/29/94</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AQ1	<u>0694593</u>	<u>01/31/96</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AR1	<u>0769080</u>	<u>09/20/00</u>	<u>Europe</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AS1	<u>1368230</u>	<u>09/25/74</u>	<u>United Kingdom</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AT1	<u>2059445</u>	<u>04/23/81</u>	<u>United Kingdom</u>	<u>—</u>	<u>—</u>	
<u>Ar0</u>	AU1	<u>WO95/08008</u>	<u>03/23/95</u>	<u>WIPO</u>	<u>—</u>	<u>—</u>	

 EXAMINER: JCH Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)

DATE CONSIDERED

6/17/03



BEST AVAILABLE COPY

Sheet 3 of 3

JACOBSON HOLMAN PLLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P67299US0
SERIAL NO.: 09/988579
APPLICANT(S): HARDIN et al

GROUP ART UNIT: 1762
FILING DATE: November 20, 2001
TODAY'S DATE: April 29, 2003

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	SUB-CLASS	TRANSLATION (YES) (NO)
<u>AV1</u> <u>WO96/11290</u>	<u>04/18/96</u>	<u>WIPO</u>	<u> </u>	<u> </u>

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

A^o AW1 139 Galvanotechnik 85 (1994), June, No. 6, Saulgau (Wurtt.), DE Abstract

A^c AX1 Arnott et al, APPLNS OF SURFACE SCIENCE 22/23, 1985, pgs. 236-251, Auger and XPS Studies of Cerium Corrosion Inhibition...

A^w AY1 King, SURFACE TREATMENT AND FINISHING OF ALUMINUM, Chapter 6, 1988, pgs. 51-58, Conversion Coatings as Pretreatments for...

A^r AZ1 Abstract, Bibber, Corrosion resistant vonversion coating prepns. for aluminum alloys - by typically treating alloy with...

EXAMINER

DATE CONSIDERED

6/17/03

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)

GROUP 1700

APR 30 2003

RECEIVED